

MAT 310

L1

M 8/25

Admin stuff: (see syllabus)

Lecturers: Weeks 1-3 Filip Zivanovic (me)

4- Joseph Helfer

Office hours: Info here

Course web page:

jojhef.com/mat310-2025fall

Recitations: Info here ↑

Weekly homeworks:

- Problems from textbook (free pdf available), see course web page.

- First HW due W Sep 3

- Hand in on Gradescope

Go to [gradescope.com](https://www.gradescope.com)

No enroll code needed!

Other important dates:

- Midterm 1: W Oct 1 in class

- Midterm 2: W Nov 12 in class
- Final exam: W Dec 10 Tavits 111

After midterm 1, some students will be offered to move up to MAT 315 taught by Prof. Zivanovic (me)

- 315 covers everything in 310 + more.
- Not forced to move up if offered.

§ Vector spaces

In previous courses, you have solved systems of linear eqns, studied matrices and linear transformations. We will now take a more abstract approach, and discuss vector spaces.

Everyone should feel familiar w the real numbers \mathbb{R} and its properties. Complex numbers are those of the form $a+bi$, where $i = \sqrt{-1}$. ($i^2 = -1$)

The set of complex numbers is denoted by \mathbb{C} , and we add/multiply them as follows:

- $(a+bi) + (c+di) = (a+c) + (b+d)i$;
- $(a+bi)(c+di) = ac + adi + bc i + bd i^2$
 $= (ac - bd) + (ad + bc)i$

Both the real and complex numbers satisfy the following properties:

Commutativity:

$$\begin{aligned} \alpha + \beta &= \beta + \alpha \\ \alpha \beta &= \beta \alpha \end{aligned} \quad \text{for all } \alpha, \beta \in \mathbb{C}$$

associativity:

$$\begin{aligned} (\alpha + \beta) + \gamma &= \alpha + (\beta + \gamma) \\ (\alpha \beta) \gamma &= \alpha (\beta \gamma) \end{aligned} \quad \text{for all } \alpha, \beta, \gamma \in \mathbb{C}$$

identities:

$$\begin{aligned} \alpha + 0 &= \alpha \\ \alpha \cdot 1 &= \alpha \end{aligned} \quad \text{for all } \alpha \in \mathbb{C}.$$

additive inverse:

For every $\alpha \in \mathbb{C}$, there's a unique $\beta \in \mathbb{C}$ such that $\alpha + \beta = 0$.

(Namely $\beta = -\alpha$!)

multiplicative inverse:

For every $\alpha \in \mathbb{C}$ with $\alpha \neq 0$, there's a unique $\beta \in \mathbb{C}$ such that $\alpha\beta = 1$

(Namely $\beta = \frac{1}{\alpha} = \frac{1}{a+bi} = \frac{a-bi}{a^2-b^2}$.)

distributive property:

$$\gamma(\alpha + \beta) = \gamma\alpha + \gamma\beta \quad \text{for all } \alpha, \beta, \gamma \in \mathbb{C}.$$

Now, "subtraction" in \mathbb{C} is defined as addition with the additive inverse:

$$\alpha - \beta \text{ is def as } \alpha + (-\beta)$$

Where $-\beta$ is the additive inverse of $\beta \in \mathbb{C}$.

Similarly, "division" is defined as multiplication with the multiplicative inverse: If $\beta \neq 0$

$\frac{\alpha}{\beta}$ is defined as $\alpha \cdot \frac{1}{\beta}$ where $\frac{1}{\beta} = \beta^{-1}$ is the multiplicative inverse of β .

FROM NOW ON :

\mathbb{F} will stand for either \mathbb{R} or \mathbb{C} .

Will call elements of \mathbb{F} "scalars".

Remark: \mathbb{F} is a special case of a mathematical object called "field."

Recall from earlier courses that

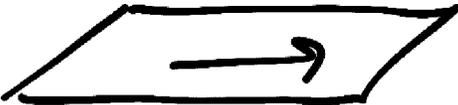
$$\mathbb{R}^n = \{ (x_1, \dots, x_n) \mid x_1, \dots, x_n \in \mathbb{R} \}$$

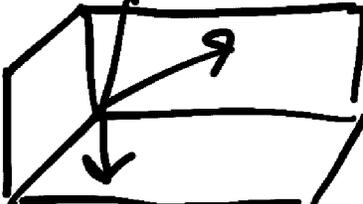
We call elements of \mathbb{R}^n vectors, and
Sometimes write them as columns

$\begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$. Similarly we define

$$\mathbb{F}^n = \{ (x_1, \dots, x_n) \mid x_1, \dots, x_n \in \mathbb{F} \}$$

We visualize \mathbb{R} as a line 

\mathbb{R}^2 (and \mathbb{C}) as a plane 

\mathbb{R}^3 as a space 

We may add elements of \mathbb{F}^n :

$$(x_1, \dots, x_n) + (y_1, \dots, y_n) = (x_1 + y_1, \dots, x_n + y_n)$$

Theorem. The addition on \mathbb{F}^n is
Commutative. Meaning

$$(x_1, \dots, x_n) + (y_1, \dots, y_n) = (y_1, \dots, y_n) + (x_1, \dots, x_n).$$

Proof: For any $(x_1, \dots, x_n), (y_1, \dots, y_n) \in \mathbb{F}^n$
we have

$$(x_1, \dots, x_n) + (y_1, \dots, y_n)$$

$$\stackrel{\text{def}}{=} (x_1 + y_1, \dots, x_n + y_n)$$

$$= (y_1 + x_1, \dots, y_n + x_n)$$

$$\stackrel{\text{def}}{=} (y_1, \dots, y_n) + (x_1, \dots, x_n).$$

□

The zero vector in \mathbb{F}^n will often be denoted by

$$\mathbf{0} = (0, \dots, 0) \in \mathbb{F}^n.$$

As with \mathbb{F} , the zero vector $\mathbf{0} \in \mathbb{F}^n$ is such that

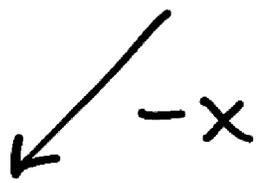
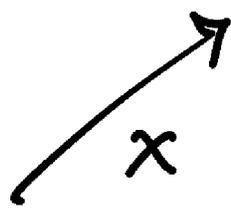
$$x + \mathbf{0} = x \text{ for any } x \in \mathbb{F}^n.$$

Vectors have additive inverses:

If $x = (x_1, \dots, x_n) \in \mathbb{F}^n$, then

$-x = (-x_1, \dots, -x_n)$ is the vector

such that $x + (-x) = \mathbf{0}$



graphically, $-x$ is the vector x w/ direction reversed.

If $\lambda \in \mathbb{F}$ and $x \in \mathbb{F}^n$ we define

$$\lambda x = (\lambda x_1, \dots, \lambda x_n).$$

It's called scalar multiplication.

These properties (and some more) make \mathbb{F}^n into an example of a "vector space."

Definition: (Addition, scalar multiplication)

Let V be a set.

(1) An addition on V is a function that assigns an element $a+b \in V$ to each pair of elements $a, b \in V$.

(2) A scalar multiplication on V is a function that assigns an element $\lambda a \in V$ to each $\lambda \in \mathbb{F}$ and $a \in V$.

Definition (Vector space)

A vector space is a set V along with an addition on V , and a scalar multiplication, such that the following properties hold:

Commutativity:

$$a + b = b + a \text{ for all } a, b \in V$$

associativity:

$$(a + b) + c = a + (b + c) \quad \text{for all } a, b, c \in V$$

and

$$(\lambda \mu)a = \lambda(\mu a) \quad \text{for all } \lambda, \mu \in \mathbb{F} \text{ and } a \in V$$

additive identity:

There's an element $0 \in V$ such that $a + 0 = a$ for all $a \in V$.

additive inverse:

For every $a \in V$, there's an element $b \in V$ such that $a + b = 0$.

multiplicative identity:

$$1a = a \text{ for all } a \in V$$

distributive properties:

$$\lambda(a+b) = \lambda a + \lambda b$$

for all

$$(\lambda + \mu)a = \lambda a + \mu a$$

$\lambda, \mu \in \mathbb{F}$

$a, b \in V$

Def: We call elements of V
"vectors" or "points."

Note that the scalar multiplication on V depends on the scalars.

If $\mathbb{F} = \mathbb{R}$ we say that V is a real vector space (or vector space over \mathbb{R}).

If $\mathbb{F} = \mathbb{C}$ we say that V is a complex vector space (or vector space over \mathbb{C}).

Ex: • $\{0\}$ is a vector space

where $0 = (0, \dots, 0) \in \mathbb{F}^n$.

To check it we have to check all the defining properties. Most of them are trivial since

$$0 + 0 = 0.$$

For the multiplicative identity we have

$$1 \cdot 0 = 1(0, \dots, 0) = (1 \cdot 0, \dots, 1 \cdot 0) = (0, \dots, 0) = 0$$

- \mathbb{R}^n is a real vector space
 - \mathbb{C}^n is a complex vector space.
-

Ex. $\mathbb{F}^\infty = \{(x_1, x_2, \dots) \mid x_i \in \mathbb{F} \text{ for all } i\}$

is a vector space. Addition and scalar mult are given by

$$(x_1, x_2, \dots) + (y_1, y_2, \dots) = (x_1 + y_1, x_2 + y_2, \dots)$$

$$\lambda(x_1, x_2, \dots) = (\lambda x_1, \lambda x_2, \dots)$$

One can check that it's a vector sp.

Ex: Let S be a set. Define

$$\mathbb{F}^S = \{f: S \rightarrow \mathbb{F} \text{ function}\}$$

Addition & scalar multiplication are defined as follows:

- $f+g \in \mathbb{F}^S$ is the function sth.

$$(f+g)(x) = f(x) + g(x) \text{ for all } x \in S$$

- $\lambda f \in \mathbb{F}^S$ is the function sth.

$$(\lambda f)(x) = \lambda f(x) \text{ for all } x \in S.$$

One can check the definition in order to verify that \mathbb{F}^S is a vector space. E.g. the additive identity is the function $0 \in \mathbb{F}^S$ such that $0(x) = 0$ for all $x \in S$.

Proposition: The additive identity in a vector space is unique.

Proof: We need to show that

if 0 and $0'$ are additive identities then $0=0'$.

Therefore assume $0, 0' \in V$ are both additive identities at V . This means

$$\begin{cases} a+0 = a & \text{for all } a \in V \\ b+0' = b & \text{for all } b \in V \end{cases}$$

First equality w/ $a=0'$ gives

$$0'+0 = 0' \quad (1)$$

Second equality w/ $b=0$ gives

$$0+0' = 0 \quad (2)$$

Addition in V is commutative, so the two left hand sides in (1), (2) are equal. Therefore

$$0' \stackrel{(1)}{=} 0'+0 \stackrel{\text{comm.}}{=} 0+0' \stackrel{(2)}{=} 0.$$

So $0'=0$, and the additive identity must be unique. □

Prop: Additive inverses in a vector space are unique.

Proof: Assuming $b \in V$ and $b' \in V$ are both additive inverses of $a \in V$, we need to show $b = b'$.

We have

$$a + b + b' = 0 + b' = b'$$

||

$$b + a + b' = b + 0 = b$$

So $b = b'$, and the additive inverse of a must be unique. □

Prop: For any $a \in V$ we have

$$0 \cdot a = 0.$$

Proof: $0 \cdot a = (0 + 0)a = 0 \cdot a + 0 \cdot a$

Now add the additive inverse to $0 \cdot a$ to both sides:

$$0 = 0 \cdot a$$

□

Recall: A vector space (over \mathbb{F}) V is a set w/ addition and scalar mult such that

$$(1) u+v = v+u \quad \forall u, v \in V$$

$$(2) (u+v)+w = u+(v+w) \quad \forall u, v, w \in V$$

$$(3) (ab)v = a(bv) \quad \forall a, b \in \mathbb{F}, v \in V$$

(4) There's $0 \in V$ such that

$$v+0 = v \quad \forall v \in V$$

(5) For every $v \in V$ there's $-v \in V$ such that $v+(-v) = 0$

$$(6) 1v = v \quad \forall v \in V$$

$$(7) a(v+w) = av+aw \quad \forall a \in \mathbb{F}, v, w \in V$$

$$(8) (a+b)v = av+bv \quad \forall a, b \in \mathbb{F}, v \in V$$

§ Subspaces Let V be a vector space over \mathbb{F} .

Def. A subspace of V is a subset $U \subset V$ that is a vector

space itself, with the same addition, scalar mult. and additive identity as in V .

The list of axioms defining a vector space is a little long, but thankfully there's a faster way to check if a subset is a subspace.

Theorem: A subset $U \subset V$ is a subspace if and only if U satisfies the following 3 conditions:

1. (additive identity) $0 \in U$

2. (closed under addition)

$u, w \in U$ implies $u + w \in U$

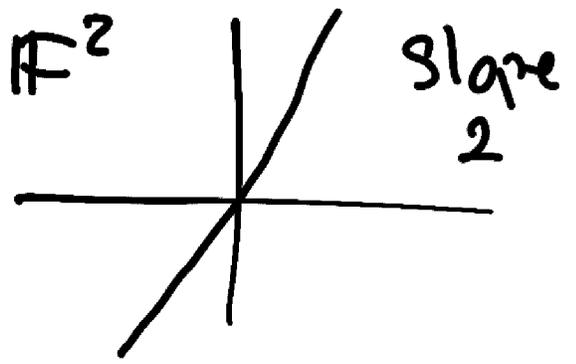
3. (closed under scalar mult.)

$a \in \mathbb{F}$ and $u \in U$ implies $au \in U$.

Ex (1) $U = \{ (x, y) \in \mathbb{F}^2 \mid y = 2x \}$

$$= \{ (x, 2x) \mid x \in \mathbb{F} \} \subset \mathbb{F}^2$$

is a subspace.



1. $0 \in U$ by picking $x=0$.

$$2. (x_1, 2x_1) + (x_2, 2x_2) = (x_1+x_2, 2(x_1+x_2)) \in U$$

$$3. \lambda(x, 2x) = (\lambda x, 2(\lambda x)) \in U$$

so $U \subset \mathbb{F}^2$ is a subspace.

(2) Let $b \in \mathbb{F}$. For what values of b

is $\{ (x_1, x_2, x_3, x_4) \in \mathbb{F}^4 \mid x_3 = 5x_4 + b \} \subset \mathbb{F}^4$
a subspace?

1. We need $0 \in U$, and a general element in U is

$$(x_1, x_2, 5x_4 + b, x_4) \in U$$

Need to pick $x_1 = x_2 = x_4 = 0$, which gives $(0, 0, b, 0)$, which forces

us to have $b=0$ (else 0 is not in U).

For $b=0$ we can also check that U is closed under addition & scalar mult.

(3) $\{f: [0,1] \rightarrow \mathbb{R} \text{ continuous}\} \subset \mathbb{R}^{[0,1]}$
is a subspace:

1. $0(x) = 0$ is continuous.
2. If $f, g: [0,1] \rightarrow \mathbb{R}$ are continuous we know from calculus that $f+g: [0,1] \rightarrow \mathbb{R}$ is continuous.
3. Similarly, if $\lambda \in \mathbb{R}$ and $f: [0,1] \rightarrow \mathbb{R}$ is continuous, then so is $\lambda f: [0,1] \rightarrow \mathbb{R}$.

It is very useful to be able to "combine" subspaces. The union of two subspaces is rarely a subspace (see problem in HW #1), so it's not

the kind of operation that is very useful to us. A better operation is the sum of subspaces.

Def (Sum of subspaces)

Let $V_1, \dots, V_m \subset V$ be subspaces of V . The sum of V_1, \dots, V_m is defined as

$$V_1 + \dots + V_m = \{v_1 + \dots + v_m \mid v_1 \in V_1, \dots, v_m \in V_m\}.$$

Sometimes we write it as $\sum_{i=1}^m V_i$.

Ex: $U = \{(x, 0, 0) \in \mathbb{F}^3\} \subset \mathbb{F}^3$ and

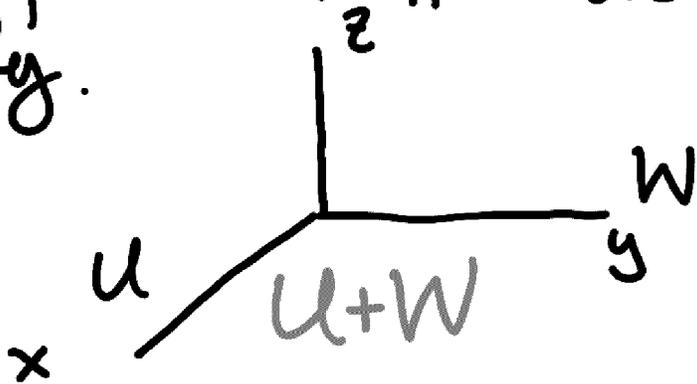
$W = \{(0, y, 0) \in \mathbb{F}^3\} \subset \mathbb{F}^3$ are two subspaces of \mathbb{F}^3 . Then

$$U + W = \{u + w \mid u \in U, w \in W\}$$

$$= \{(x, 0, 0) + (0, y, 0) \mid (x, 0, 0) \in U, (0, y, 0) \in W\}$$

$$= \{(x, y, 0) \in \mathbb{F}^3\} \subset \mathbb{F}^3$$

Note that $U+W$ is again a subspace of \mathbb{F}^3 as you can verify.



Ex: Let $U = \{(x, x, y, y) \in \mathbb{F}^4\} \subset \mathbb{F}^4$

$W = \{(x, x, x, y) \in \mathbb{F}^4\} \subset \mathbb{F}^4.$

and let's find $U+W$. It's the set of all possible sums of elements from U and W . Let

$$(x, x, y, y) \in U \quad (z, z, z, w) \in W$$

then their sum is

$$(x+z, x+z, y+z, y+w) \in U+W.$$

only the first two components are equal, so we have

$U+W \subset \{(a,a,b,c) \in \mathbb{F}^4\}$ (subset).

We now show that we in fact have equality. To show it, we must show

$$U+W \supset \{(a,a,b,c) \in \mathbb{F}^4\}. \quad (*)$$

To prove this we must show that any element $(a,a,b,c) \in \mathbb{F}^4$ can be written as a sum

$$(x,x,y,y) + (z,z,z,w) \quad \text{for some } x,y,z,w \in \mathbb{F}.$$

Namely

$$(a,a,b,c) = (a,a,b,b) + (0,0,0,c-b).$$

This shows $(*)$ and hence

$$U+W = \{(x,x,y,z) \in \mathbb{F}^4\}.$$

Can again show $U+W$ is a subspace.

That $U+W$ was a subspace in the two previous examples is not a coincidence.

Theorem: If $V_1, \dots, V_m \subset V$ are subspaces then $V_1 + \dots + V_m \subset V$ is a subspace. In fact, it's the smallest subspace containing V_1, \dots, V_m .

Proof: We first check that $\sum_{i=1}^m V_i$ is a subspace:

1. $0 = 0 + \dots + 0 \in \sum_{i=1}^m V_i$

2. If $V_1 + \dots + V_m, W_1 + \dots + W_m \in \sum_{i=1}^m V_i$ then

$$(V_1 + \dots + V_m) + (W_1 + \dots + W_m)$$

$$= (V_1 + W_1) + \dots + (V_m + W_m) \in \sum_{i=1}^m V_i$$

Since $v_i + w_i \in V_i$ for all $i = 1, \dots, m$.

3. If $\lambda \in \mathbb{F}$ and $V_1 + \dots + V_m \in \sum_{i=1}^m V_i$

Definition (Direct Sum).

Let $V_1, \dots, V_m \subset V$ be subspaces.

The sum $V_1 + \dots + V_m \subset V$ is called a direct sum if each element $w \in \sum_{i=1}^m V_i$ can be written as

$w = v_1 + \dots + v_m$, $v_i \in V_i$ $i = 1, \dots, m$
in only one way. In this case
we use the notation

$$V_1 \oplus \dots \oplus V_m \text{ or } \bigoplus_{i=1}^m V_i$$

Ex: $U = \{(x, 0, 0) \in \mathbb{F}^3\} \subset \mathbb{F}^3$

$$W = \{(0, y, 0) \in \mathbb{F}^3\} \subset \mathbb{F}^3$$

then we saw previously

$U + W = \{(x, y, 0) \in \mathbb{F}^3\}$. This
is in fact a direct sum since

$(x, y, 0) = (x, 0, 0) + (0, y, 0)$ can
not be written as such a sum in

any other way.

$$U \oplus W = \{(x, y, 0) \in \mathbb{F}^3\}.$$

Ex: Let $V_i = \{(0, \dots, 0, \overset{\substack{\uparrow \\ i\text{-th component}}}{x_i}, 0, \dots, 0) \in \mathbb{F}^n\}$
for $i = 1, \dots, m$. Then

$$\mathbb{F}^n = V_1 \oplus \dots \oplus V_m = \bigoplus_{i=1}^m V_i.$$

Ex: $U = \{(x, x) \in \mathbb{F}^2\}$

$$W = \{(x, 0) \in \mathbb{F}^2\}$$

$$Z = \{(x, 2x) \in \mathbb{F}^2\}$$

The sum $U + W + Z$ is not a direct sum. For example the element $(2, 2) \in \mathbb{F}^2$ can be written as

$$(2, 2) = \overset{U}{(2, 2)} + \overset{W}{(0, 0)} + \overset{Z}{(0, 0)}$$

but also as

$$(2, 2) = (0, 0) + (1, 0) + (1, 2)$$

So the sum decomposition of (22) isn't unique.

Proposition: Let $V_1, \dots, V_m \subset V$ be subspaces. The sum $\sum_{i=1}^m V_i$ is a direct sum iff

$$0 = V_1 + \dots + V_m \Rightarrow V_i = 0 \quad i=1, \dots, m.$$

i.e. the only way of writing 0 as a sum is the sum of 0's.

Ex: In our prev example we could've showed $U+W+Z$ isn't a direct sum by writing $(0,0)$ as

$$(0,0) = (2,2) + (-1,0) + (-1,-2).$$

$U \quad W \quad Z$

Proposition. Let $U, W \subset V$ be subspaces.

$U+W$ is a direct sum $\Leftrightarrow U \cap W = \{0\}$

Proof: \implies . Assume $U+W$ is a direct sum. Let $v \in U \cap W$. Then we can write 0 as the sum

$$0 = v + (-v)$$

which implies $v = -v = 0$

so $U \cap W = \{0\}$, since our choice of $v \in U \cap W$ was arbitrary.

\Leftarrow : Assume $U \cap W = \{0\}$. To

show $U+W$ is a direct sum, we need to show

$$0 = u + w \implies u = w = 0.$$

Namely, the equation $0 = u + w$ implies $w = -u$ (i.e. the additive inverse of u). Since $u \in U$, we have $-u \in U$, so $w = -u \in U$ and thus $w \in U \cap W$, which means $w = 0$, and so $u = 0$. \square

Remark/warning: The previous result does not hold for sums of 3 or more vector spaces.

§ Span and linear independence

Let V be a vector field over \mathbb{F} .

Def: A linear combination of vectors v_1, \dots, v_m is a vector of the form

$$a_1 v_1 + \dots + a_m v_m = \sum_{i=1}^m a_i v_i \quad \begin{array}{l} a_i \in \mathbb{F} \\ v_i \in V \end{array}$$

Ex: • $(17, -4, 2)$ is a lin. comb. of $(2, 1, -3)$ and $(1, -2, 4)$ because

$$(17, -4, 2) = 6(2, 1, -3) + 5(1, -2, 4)$$

• $(17, -4, 5)$ is not a lin comb of $(2, 1, -3)$ and $(1, -2, 4)$ because the equation

$$(17, -4, 5) = a_1(2, 1, -3) + a_2(1, -2, 4)$$

has no solutions. It's equivalent to the system of linear eqns

$$\begin{cases} 17 = 2a_1 + a_2 \\ -4 = a_1 - 2a_2 \\ 5 = -3a_1 + 4a_2 \end{cases} \quad \text{which has no sol as you can check.}$$

Def: The span of $v_1, \dots, v_m \in V$ is the set of all linear combinations

$$\text{span}(v_1, \dots, v_m) = \left\{ \sum_{i=1}^m a_i v_i \mid a_i \in \mathbb{F} \forall i \right\}$$

Ex From previous ex

$$v_1 = (2, 1, -3), v_2 = (1, -2, 4),$$

$$w_1 = (17, -4, 2), w_2 = (17, -4, 5)$$

and we have $w_1 \in \text{span}(v_1, v_2)$
but $w_2 \notin \text{span}(v_1, v_2)$.

Def: A vector space V is finite dimensional if V is the span of a finite list of vectors, i.e.,
 $V = \text{span}(v_1, \dots, v_m)$ for some $v_1, \dots, v_m \in V$.

Def: If V is not finite dim we say that it's infinite dim.

Ex: • $\mathbb{F}^n = \{(x_1, \dots, x_n) \mid x_i \in \mathbb{F} \forall i\}$
is finite dim since

$$\mathbb{F}^n = \text{Span}((1, 0, \dots, 0), (0, 1, 0, \dots, 0), \dots, (0, \dots, 0, 1))$$

• $\mathbb{F}^\infty = \{(x_1, x_2, \dots) \mid x_i \in \mathbb{F} \forall i\}$

is infinite dimensional.

Def: • Let $\mathcal{P}(\mathbb{F})$ denote the set of all polynomials w/ coefficients in \mathbb{F} .

• Let $\mathcal{P}_m(\mathbb{F})$ be the set of all polynomials of degree $\leq m$.

Prop. $\mathcal{P}(\mathbb{F})$ is infinitely dimensional.

Proof: Going for a contradiction, assume it's not & write

$$\mathcal{P}(\mathbb{F}) = \text{Span}(p_1, \dots, p_m).$$

Let $D = \max_{1 \leq i \leq m} \deg P_i$. This exists because each (non-zero) polynomial has a non-negative degree. But then $x^{D+1} \notin \text{span}(P_1, \dots, P_m)$ which yields a contradiction. \square

Def A set of vectors v_1, \dots, v_m is said to be linearly independent iff
$$\sum_{i=1}^m a_i v_i = 0 \Rightarrow a_i = 0 \quad \forall i.$$

If they are not linearly independent, they are called linearly dependent.

Rmk: A set of vectors v_1, \dots, v_m is linearly independent if $w \in \text{span}(v_1, \dots, v_m)$ can only be written as a linear combination in one way.

(Compare this to sum/direct sum of subspaces.)

Ex: (a) $(1,0,0)$ and $(0,1,0)$ are linearly independent:

$$a_1(1,0,0) + a_2(0,1,0) = 0$$

$$\Leftrightarrow (a_1, a_2, 0) = (0, 0, 0)$$

$$\Leftrightarrow a_1 = a_2 = 0.$$

(b) Let m be a non-negative integer.

Then $1, z, \dots, z^m$ are linearly independent in $\mathcal{P}(\mathbb{F})$. Then

$a_0 + a_1 z + \dots + a_m z^m = 0$ means an equality in $\mathcal{P}(\mathbb{F})$, so this equality should be true for all $z \in \mathbb{F}$. The only option is

$$a_0 = a_1 = \dots = a_m = 0.$$

(c) Any non-zero $v \in V$ is linearly independent since $\alpha v = 0 \Rightarrow \alpha = 0$.

(d) The zero vector $0 \in V$ is linearly dependent since eg $1 \cdot 0 = 0$.

(e) v_1 and v_2 are linearly dependent iff $v_1 = \lambda v_2$ for some $\lambda \in \mathbb{F}$.

(f) $(2, 3, 1)$, $(1, -1, 2)$, $(7, 3, 8)$ is lin dep in \mathbb{F}^3 since

$$2(2, 3, 1) + 3(1, -1, 2) + (-1)(7, 3, 8) = 0$$

ie. we can write 0 as a non-trivial linear combination.

Prop: If v_1, \dots, v_m is lin dep. Then $\exists k \in \{1, \dots, m\}$ s.t.

$$v_k \in \text{Span}(v_1, \dots, v_{k-1}).$$

Furthermore if v_k is such a vector then $\text{span}(v_1, \dots, v_m) = \text{span}(v_1, \dots, \hat{v}_k, \dots, v_m)$

($v_1, \dots, \hat{v}_k, \dots, v_m$ is the list v_1, \dots, v_m with v_k removed.)

Proof: By assumption v_1, \dots, v_m is lin dep so $\exists a_1, \dots, a_m$ not all $= 0$ such that $a_1 v_1 + \dots + a_m v_m = 0$. Let $k = \max \{i \mid a_i \neq 0\}$. Then

$a_1 v_1 + \dots + a_m v_m = a_1 v_1 + \dots + a_k v_k = 0$ with $a_k \neq 0$. So:

$$v_k = -\frac{a_1}{a_k} v_1 - \dots - \frac{a_{k-1}}{a_k} v_{k-1}$$

$\Leftrightarrow v_k \in \text{Span}(v_1, \dots, v_{k-1})$. For the second part, it's obvious that $\text{Span}(v_1, \dots, \hat{v}_k, \dots, v_m) \subset \text{Span}(v_1, \dots, v_m)$ so it suffices to prove

$$\text{Span}(v_1, \dots, \hat{v}_k, \dots, v_m) \supset \text{Span}(v_1, \dots, v_m)$$

Let $v = a_1 v_1 + \dots + a_m v_m \in \text{Span}(v_1, \dots, v_m)$.

Since we now assume $v_k \in \text{Span}(v_1, \dots, v_{k-1})$
So $v_k = b_1 v_1 + \dots + b_{k-1} v_{k-1}$, and

$$v = a_1 v_1 + \dots + a_k v_k + \dots + a_m v_m$$

$$= a_1 v_1 + \dots + a_k (b_1 v_1 + \dots + b_{k-1} v_{k-1}) + \dots + a_m v_m$$

$$= (a_1 + a_k b_1) v_1 + \dots + (a_{k-1} + a_k b_{k-1}) v_{k-1}$$

$$+ a_{k+1} v_{k+1} + \dots + a_m v_m$$

$\in \text{Span}(v_1, \dots, \hat{v}_k, \dots, v_m)$ since

this is now a lin comb nals involving v_k anymore. This shows

$$\text{span}(v_1, \dots, \hat{v}_k, \dots, v_m) \supset \text{Span}(v_1, \dots, v_m)$$

and hence

$$\text{span}(v_1, \dots, \hat{v}_k, \dots, v_m) = \text{Span}(v_1, \dots, v_m)$$

□

Ex: Consider $v_1 = (1, 2, 3)$, $v_2 = (6, 5, 4)$
 $v_3 = (15, 16, 17)$, $v_4 = (8, 9, 7)$. Let's find
the smallest k sth $v_k \in \text{Span}\{v_1, \dots, v_{k-1}\}$.

k=1: $V_1 \neq 0$ so V_1 is lin indep.

k=2: We see that V_2 is not a scalar multiple of V_1 :

$$(6, 5, 4) = c(1, 2, 3) \text{ means } \begin{cases} c = 6 \\ 2c = 5 \\ 3c = 4 \end{cases}$$

which obviously has no sol.

k=3: Now, we do have

$$V_3 = aV_1 + bV_2 \text{ for } a=3, b=2, \text{ so}$$

$V_3 \in \text{Span}(V_1, V_2)$ which means that V_1, V_2, V_3, V_4 is lin dep &

$$\text{Span}(V_1, V_2, V_3, V_4) = \text{Span}(V_1, V_2, V_4)$$

by the previous proposition.

the "linear dependence lemma" 

Prop: In a lin. dim vector space, the length of every lin independent list is less than or equal to the length of every spanning list.

Proof: Supp. u_1, \dots, u_m is lin indep and w_1, \dots, w_n spans V . We will show $m \leq n$.

Step 1: Because $u_1 = a_1 w_1 + \dots + a_n w_n$ for some $a_i \in F$, the list

u_1, w_1, \dots, w_n is lin dep. Since $u_1 \neq 0$, the lin dep lemma implies that there is some w_k such that $w_k \in \text{Span}(u_1, w_1, \dots, w_{k-1})$ and $\text{Span}(u_1, w_1, \dots, w_n) = \text{Span}(u_1, w_1, \dots, \hat{w}_k, \dots, w_n) = V$

Repeat this process. At step k ($k \geq 2$) the list from step $k-1$ is

$u_1, \dots, u_{k-1}, w_{i_k}, \dots, w_n$ (n vectors in total)
& its span = V . So

$$u_k = a_1 u_1 + \dots + a_{k-1} u_{k-1} + a_k w_{i_k} + \dots + a_n w_n$$

So the new list

$u_1, \dots, u_{k-1}, u_k, w_{i_k}, \dots, w_{i_n}$ is lin dep.

because u_1, \dots, u_k is lin indep, \exists some $w_j \in \text{Span}(u_1, \dots, u_k, w_{i_k}, \dots, w_{j-1})$

Now at the final step when we have the list

$u_1, \dots, u_m, w_{i_m}, \dots, w_{i_n}$ it must still be lin dep by the above reasoning. But since (u_1, \dots, u_m) is lin indep, the list of w 's can not exhausted yet, meaning $m \geq n$. □

Ex: • Since $(1,0)$ and $(0,1)$ spans \mathbb{F}^2 , no list of ≥ 3 vectors in \mathbb{F}^2 can be linearly dependent.

eg. $(1,2), (-1,5), (7,0)$ must be lin dep.

• Since $(1,0,0), (0,1,0), (0,0,1)$ are linearly indep in \mathbb{F}^3 , no list of ≤ 2 vectors in \mathbb{F}^3 can span it!

Prop: Every subspace of a fin dim vector space is finite dimensional

Recall: • v_1, \dots, v_n lin indep iff

$$a_1 v_1 + \dots + a_n v_n = 0 \Rightarrow a_i = 0 \quad \forall i$$

• $V = \text{span}(v_1, \dots, v_n)$ iff $\forall u \in V$

$$\exists b_1, \dots, b_n \in \mathbb{F} : u = b_1 v_1 + \dots + b_n v_n.$$

§ Bases

Def: A basis of V is a linearly independent spanning set of vectors

Ex: (a) $(1, 0), (0, 1)$ is a basis for \mathbb{F}^2

(b) $(1, 0, \dots, 0), \dots, (0, \dots, 0, 1)$ is a basis for \mathbb{F}^n . ("standard basis")

(c) $(1, 2), (1, 0)$ is a basis for \mathbb{F}^2 .

(d) $1, z, \dots, z^m$ is a basis (the "standard basis") for $P_m(\mathbb{F})$.

Prop: A list v_1, \dots, v_n is a basis for V iff $\forall u \in V$ can be written uniquely on the form

$$u = a_1 v_1 + \dots + a_n v_n.$$

Proof: \implies : Assume v_1, \dots, v_n is a basis. Since it spans every $u \in V$ can be written as

$$u = a_1 v_1 + \dots + a_n v_n.$$

If it could also be written as

$$u = b_1 v_1 + \dots + b_n v_n$$

we have

$$0 = u - u = (a_1 - b_1)v_1 + \dots + (a_n - b_n)v_n$$

$\implies a_i = b_i \forall i$ because of lin indep

\impliedby : Since every $u \in V$ has a unique representation

$u = a_1 v_1 + \dots + a_n v_n$ it means v_1, \dots, v_n spans.

In particular we always have

$0 = 0 \cdot v_1 + \dots + 0 \cdot v_n$ & because this is the unique way of representing 0 , v_1, \dots, v_n must also be lin indep. \square

Prop: Every spanning list can be reduced to a basis for V .

Proof: Let $V = \text{span}(v_1, \dots, v_n)$, and call this spanning list B . Define

$$B' := \{v_k \mid v_k \notin \text{span}(v_1, \dots, v_{k-1})\}$$

(Note $\text{span}(\emptyset) = \{0\}$ by def.)

Then by the linear dep lemma from last time $\text{span } B = \text{span } B' = V$ & B' is now lin indep. Hence B' is a basis. \square

Theorem: Every fin dim vector space has a basis.

Proof: By def, every fin dim vector space has a spanning set. A basis is now obtained by reducing it as in the previous proposition. \square

We can also construct a basis by extending a lin indep list:

Prop: Every lin indep set of vectors in a fin. dim. vector space can be extended to a basis

Proof: If u_1, \dots, u_m is linearly indep & $V = \text{span}(w_1, \dots, w_n)$ then $V = \text{span}(u_1, \dots, u_m, w_1, \dots, w_n)$. The

reduction in the above prop now produces a basis for V , and it will not remove any of the u_i 's because of lin independence. \square

Thm: If V is fin dim and $U \subset V$ is a subspace, then there exists a subspace $W \subset V$ such that $V = U \oplus W$.

Proof: V fin dim $\Rightarrow U$ fin dim.

So we pick a basis u_1, \dots, u_m of U . Extend u_1, \dots, u_m to a basis $u_1, \dots, u_m, w_1, \dots, w_n$ for V .

By construction w_1, \dots, w_n is lin indep (else it wouldn't be part of a basis for V).

Define $W = \text{span}(w_1, \dots, w_n)$. We now need to show

$$V = U + W \text{ and } U \cap W = \{0\}.$$

Any $v \in V$ can be written as

$$v = \underbrace{a_1 u_1 + \dots + a_m u_m}_{\in U} + \underbrace{b_1 w_1 + \dots + b_n w_n}_{\in W}$$

So $v = u + w \in U + W$ and so

$V = U + W$ since $U + W \subset V$ is already known to be a subspace.

Next assume $\exists v \in U \cap W$
then write

$$v = a_1 u_1 + \dots + a_m u_m$$

$$v = b_1 w_1 + \dots + b_n w_n$$

for some $a_i, b_j \in \mathbb{F} \forall i, j$. Then

$$0 = v - v = \sum_{i=1}^m a_i u_i - \sum_{j=1}^n b_j w_j$$

so $a_i = 0 \forall i$ and $b_j = 0 \forall j$
by lin indep of $u_1, \dots, u_m, w_1, \dots, w_n$

$\Rightarrow v = \{0\}$ so $U \cap W = \{0\}$ \square

§ Dimension

Prop: Any two bases of a fin dim vector space have the same length.

Proof: Let B_1 and B_2 be two bases.

B_1 lin indep & B_2 spans

$$\Rightarrow \text{length } B_1 \leq \text{length } B_2$$

But B_2 lin indep & B_1 spans

$$\Rightarrow \text{length } B_2 \leq \text{length } B_1$$

$$\Rightarrow \text{length } B_1 = \text{length } B_2 \quad \square$$

Def: The dimension of a fin dim vector space V is defined as $\dim V = \text{length of any basis for } V$.

Ex: (a) $(1, 0, \dots, 0), \dots, (0, \dots, 0, 1)$ Standard basis of \mathbb{F}^n has length n so $\dim \mathbb{F}^n = n$

(b) $1, z, \dots, z^m$ Standard basis of $\mathcal{P}_m(\mathbb{F})$ has length $m+1$ so $\dim \mathcal{P}_m(\mathbb{F}) = m+1$.

Prop: If $U \subset V$ is a subspace of a fin dim vector space V , then $\dim U \leq \dim V$.

Proof: A previous result guarantees U is fin dim. Pick bases u_1, \dots, u_m and v_1, \dots, v_n of U and V . v_1, \dots, v_n spans V & u_1, \dots, u_m is lin independent in V
 $\Rightarrow \dim U = m \leq n = \dim V$

□

Prop: V fin dim vector space.

Every lin indep list in V of length $\dim V$ is a basis.

Proof: A lin indep list can be extended to a basis. But if the list is already of length $\dim V$, no vectors are needed to be added & the lin indep list was already a basis to begin with. \square

Prop: If V is fin dim and $U \subset V$ such that $\dim U = \dim V$, then $U = V$.

Proof. If u_1, \dots, u_m is a basis for U , it's lin indep in V . & since $m = \dim U = \dim V$, it has the correct length (in V), so it's a basis in V , and

$$V = \text{span}(u_1, \dots, u_m) = U$$

$$\square$$

Similar to the above, we also have:

Prop: If V is fin dim, and

$V = \text{Span}(v_1, \dots, v_m)$ where $m = \dim V$, then v_1, \dots, v_m is a basis for V .

Theorem: Let V_1, V_2 be subspaces of a fin dim vector space V . Then

$$\dim V_1 + V_2 = \dim V_1 + \dim V_2 - \dim V_1 \cap V_2$$

Proof: Let v_1, \dots, v_m be a basis for $V_1 \cap V_2$. This list is lin indep in both V_1 and V_2 , so we can find extensions to bases

$v_1, \dots, v_m, u_1, \dots, u_n$ of V_1

$v_1, \dots, v_m, w_1, \dots, w_l$ of V_2

So $\dim V_1 \cap V_2 = m$

$$\dim V_1 = m + n$$

$$\dim V_2 = m + l$$

We will show $v_1, \dots, v_m, u_1, \dots, u_n, w_1, \dots, w_l$ is a basis for $V_1 + V_2$ which

would conclude the proof.

It is obvious that

$$V_1 + V_2 = \text{Span}(v_1, \dots, v_m, u_1, \dots, u_n, w_1, \dots, w_l)$$

& so it suffices to show it's lin indep. Assume

$$\sum_{p=1}^m a_p v_p + \sum_{q=1}^n b_q u_q + \sum_{r=1}^l c_r w_r = 0. \quad (*)$$

Then

$$\sum_{r=1}^l c_r w_r = - \sum_{p=1}^m a_p v_p - \sum_{q=1}^n b_q u_q$$

By assumption $\sum_{r=1}^l c_r w_r \in V_2$, but

in this eqn RHS $\in V_1$, so

$$\sum_{r=1}^l c_r w_r \in V_1 \cap V_2 \Rightarrow$$

$$\sum_{r=1}^l c_r w_r = \sum_{s=1}^m d_s v_s \text{ for some } d_s \in \mathbb{F}$$

$$\Leftrightarrow \sum_{s=1}^m d_s v_s - \sum_{r=1}^l c_r w_r = 0$$

implies $a_s = 0 \forall s$ and $c_r = 0 \forall r$
because $v_1, \dots, v_m, w_1, \dots, w_\ell$ lin indep
in V_2 . Then (*) becomes

$$\sum_{p=1}^m a_p v_p + \sum_{q=1}^n b_q u_q = 0$$

which implies $a_p = 0 \forall p$ and $b_q = 0 \forall q$

Since $v_1, \dots, v_m, u_1, \dots, u_n$ is lin indep
in V_1 . This shows

$v_1, \dots, v_m, u_1, \dots, u_n, w_1, \dots, w_\ell$ is lin
indep in $V_1 + V_2$ & hence a basis. \square

So far we have studied individual vector spaces & their subspaces. We will now study maps between them.

§ Linear maps (3A)

As before $\mathbb{F} = \mathbb{R}$ or \mathbb{C} , and all vector spaces are defined over \mathbb{F} unless stated otherwise.

Def: A linear map from V to W is a function $T: V \rightarrow W$ such that:

- (additivity) $T(u+v) = T(u) + T(v) \quad \forall u, v \in V$
- (homogeneity) $T(\lambda u) = \lambda T(u) \quad \forall \lambda \in \mathbb{F}$
 $u \in V.$

We will denote the set of linear maps $V \rightarrow W$ by $\mathcal{L}(V, W)$. We also write $\mathcal{L}(V) := \mathcal{L}(V, V)$.

Ex: • The constant map

$$V \longrightarrow W \quad \text{that sends every} \\ v \longmapsto 0 \quad \text{vector to } 0$$

is called the zero map and is sometimes simply denoted by $0 \in \mathcal{L}(V, W)$.

• The linear map $V \longrightarrow V$ is

$$v \longmapsto v$$

called the identity map. It's usually denoted by $I \in \mathcal{L}(V)$.

• Define $D \in \mathcal{L}(\mathcal{P}(\mathbb{R}))$ by $Dp = p'$ for any $p \in \mathcal{P}(\mathbb{R})$. We know from calculus that $(p+q)' = p'+q'$ and $(\lambda p)' = \lambda p'$, $\lambda \in \mathbb{R}$, meaning that D is indeed linear.

• Similarly $Tp = \int_0^1 p(x) dx$ is a linear map $\mathcal{P}(\mathbb{R}) \longrightarrow \mathbb{R}$.

• $T: \mathcal{P}(\mathbb{R}) \longrightarrow \mathcal{P}(\mathbb{R})$ defined by

$(Tp)(x) = x^2 p(x)$ is linear.

- Recall $\mathbb{F}^\infty = \{(x_1, x_2, \dots) \mid x_i \in \mathbb{F} \forall i\}$.

$$T(x_1, x_2, \dots) = (x_2, x_3, \dots)$$

is a linear map $\mathbb{F}^\infty \rightarrow \mathbb{F}^\infty$.

- $\mathbb{R}^3 \rightarrow \mathbb{R}^2$

$$(x, y, z) \mapsto (2x - y + 3z, 7x + 5y - 6z)$$

is linear.

- $\mathbb{R}^2 \rightarrow \mathbb{R}$

$$(x, y) \mapsto x^2 + y^2 \text{ is not linear}$$

- Fix $q \in \mathcal{P}(\mathbb{R})$. Then

$$\mathcal{P}(\mathbb{R}) \rightarrow \mathcal{P}(\mathbb{R})$$

$$p \mapsto p \circ q$$

is linear.

Prop (linear map lemma)

Suppose V_1, \dots, V_n and W_1, \dots, W_n are

bases for V and W , respectively.

Then there exists a unique linear map $T: V \rightarrow W$ such that $TV_k = W_k \quad \forall k$

Proof: Existence: For any $v \in V$ write $v = \sum_{i=1}^n c_i v_i$ & define

$$Tv = \sum_{i=1}^n c_i w_i.$$

We then have $TV_k = W_k$ by def for any $k \in \{1, \dots, n\}$. To show T is linear, pick $u \in V$ & write

$$u = \sum_{i=1}^n b_i v_i. \quad \text{Then}$$

$$u+v = \sum_{i=1}^n b_i v_i + \sum_{i=1}^n c_i v_i = \sum_{i=1}^n (b_i + c_i) v_i$$

So

$$T(u+v) = \sum_{i=1}^n (b_i + c_i) w_i = \sum_{i=1}^n b_i w_i + \sum_{i=1}^n c_i w_i$$

$$= Tu + Tv.$$

If $\lambda \in \mathbb{F}$ then

$$\lambda v = \lambda \sum_{i=1}^n c_i v_i = \sum_{i=1}^n (\lambda c_i) v_i, \quad \text{so}$$

$$T(\lambda v) = \sum_{i=1}^n (\lambda c_i) w_i = \lambda \sum_{i=1}^n c_i w_i = \lambda T v.$$

Uniqueness: If $S \in \mathcal{L}(V, W)$ is any other linear map w/ $S(v_k) = w_k \forall k$ we have

$$\begin{aligned} T v &= \sum_{i=1}^n c_i w_i = \sum_{i=1}^n c_i S(v_i) \\ &= \sum_{i=1}^n S(c_i v_i) = S\left(\sum_{i=1}^n c_i v_i\right) = S v \end{aligned}$$

for any $v \in V$, so $T = S$. \square

It turns out that the set of linear maps $\mathcal{L}(V, W)$ is itself a vector space.

Def. Suppose $S, T \in \mathcal{L}(V, W)$. Then $S+T \in \mathcal{L}(V, W)$ and $\lambda T \in \mathcal{L}(V, W)$ for $\lambda \in \mathbb{F}$ are defined by

$$(S+T)(v) = S(v) + T(v)$$

$$(\lambda T)(v) = \lambda T(v).$$

$\forall v \in V.$

Prop: $\mathcal{L}(V, W)$ is a vector space with the addition & scalar mult defined above.

Def: If $T \in \mathcal{L}(U, V)$, $S \in \mathcal{L}(V, W)$ we may define their product $ST \in \mathcal{L}(U, W)$ to be the linear map

$$(ST)(u) = S(Tu).$$

Remark: ST is just usual composition of linear maps.

Prop: The product of linear maps satisfy the following properties:

- (associativity) $(T_1 T_2) T_3 = T_1 (T_2 T_3)$ for any linear maps $V_1 \xrightarrow{T_1} V_2 \xrightarrow{T_2} V_3 \xrightarrow{T_3} V_4$.
- (identity) $T I_V = I_W T = T$ for any $T \in \mathcal{L}(V, W)$, where $I_V \in \mathcal{L}(V)$, $I_W \in \mathcal{L}(W)$ are the identity maps on V and W ,

respectively.

• (distributive properties)

$$(S_1 + S_2)T = S_1T + S_2T$$

$$S(T_1 + T_2) = ST_1 + ST_2$$

$$T, T_1, T_2 \in \mathcal{L}(U, V), \quad S, S_1, S_2 \in \mathcal{L}(V, W).$$

Ex The product of linear maps is not commutative. Recall

$$D \in \mathcal{L}(P(\mathbb{R})), \quad Dp = Dp' \quad \text{and}$$

$$T \in \mathcal{L}(P(\mathbb{R})) \quad (Tp)(x) = x^2 p(x)$$

from before. Then

$$(TD)p(x) = D(x^2 p(x)) = x^2 p'(x) + 2xp(x)$$

$$(TDP)(x) = (TP')(x) = x^2 p'(x).$$

Ex: $T, S \in \mathcal{L}(\mathbb{F}^2)$ defined by

$$T(x, y) = (y, x), \quad S(x, y) = (x+y, y)$$

then

$$(TS)(x, y) = T(x+y, y) = (y, x+y)$$

$$(ST)(x, y) = S(y, x) = (y+x, x)$$

Prop: If $T \in \mathcal{L}(V, W)$ then $T(0) = 0$.

Proof: $T(0) = T(0+0) = T(0) + T(0)$

Add $-T(0)$ to both sides:

$$0 = T(0). \quad \square$$

§ Null space & range (3B)

Def: Let $T \in \mathcal{L}(V, W)$. The null space, denoted by $\text{null } T$, is the subset of V

$$\text{null } T = \{v \in V \mid Tv = 0\}.$$

Rem.: The more common term of the null space is "kernel", and the notation $\text{ker } T$.

Ex: • Let $0 \in \mathcal{L}(V, W)$ be the zero map. $\text{null } 0 = V$ since everything maps to 0.

• Let $\varphi \in (\mathbb{R}^3, \mathbb{R})$ be def by

$$\varphi(x, y, z) = x + 2y + 3z.$$

$$\text{null } \varphi = \{(x, y, z) \in \mathbb{R}^3 \mid x + 2y + 3z = 0\}$$

this is a plane in \mathbb{R}^3

• Consider $D \in \mathcal{L}(\mathcal{P}(\mathbb{R}))$. Then

$$\text{null } D = \{p \in \mathcal{P}(\mathbb{R}) \mid p' = 0\}$$

$$= \{\text{constant polynomials}\} = \mathcal{P}_0(\mathbb{R}).$$

• $T \in \mathcal{L}(\mathcal{P}(\mathbb{R}))$, $(Tp)(x) = x^2 p(x)$,

$$\text{null } T = \{p \in \mathcal{P}(\mathbb{R}) \mid x^2 p(x) = 0 \forall x \in \mathbb{R}\}$$

$$= \{0\}$$

• $T \in \mathcal{L}(\mathbb{F}^\infty)$, $T(x_1, x_2, \dots) = (x_2, x_3, \dots)$

$$\text{null } T = \{(x_1, x_2, \dots) \in \mathbb{F}^\infty \mid (x_2, x_3, \dots) = 0\}$$

$$= \{(x_1, 0, 0, \dots) \mid x_1 \in \mathbb{F}\}.$$